

Docket No. 59131/JPW/AKC/WL**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

June 7, 1999

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

Transmitted herewith for filing are the specification and claims of the
patent application of:Taka-Aki Sato for

Inventor(s)

GENE ENCODING NADE, p75^{NTR} - ASSOCIATED CELL DEATH EXECUTOR AND USES THEREOF

Title of Invention

Also enclosed are:

☒ 17 sheet(s) of ☐ informal ☒ formal drawings.☐ Oath or declaration of Applicant(s).☐ A power of attorney☐ An assignment of the invention to _____☒ A Preliminary Amendment☒ A verified statement to establish small entity status under 37 C.F.R.
§1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE		
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	23 -20	=	3	X	\$ 9.00	\$18.00	=	\$27.00	\$
Independent Claims	7 -3	=	1	X	\$39.00	\$78.00	=	\$39.00	\$
Multiple Dependent Claims Presented:	_____ Yes _____ X No				\$130.00	\$260.00	=	\$ 0	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE			\$ 380	\$ 760
					TOTAL FEE			\$446.00	\$

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less than zero, enter "0" in
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Applicant: Taka-Aki Sato
U.S. Serial No: Not Yet Known
Filed: Herewith

- ☒ A check in the amount of \$ 446.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:
- ☐ Filing fees under 37 C.F.R. §1.16.
- ☐ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. _____ filed in _____ on _____ Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) Express Mail Certificate of Mailing bearing label No. EJ 700 079 781 US and one loose set of figures

Respectfully submitted,

Albert Wai-Kit Chan

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The PTO did not receive the following
listed item(s) a check for \$446.00



Application No.:09/327,750

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: the topology of the sequence was not reported.

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

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